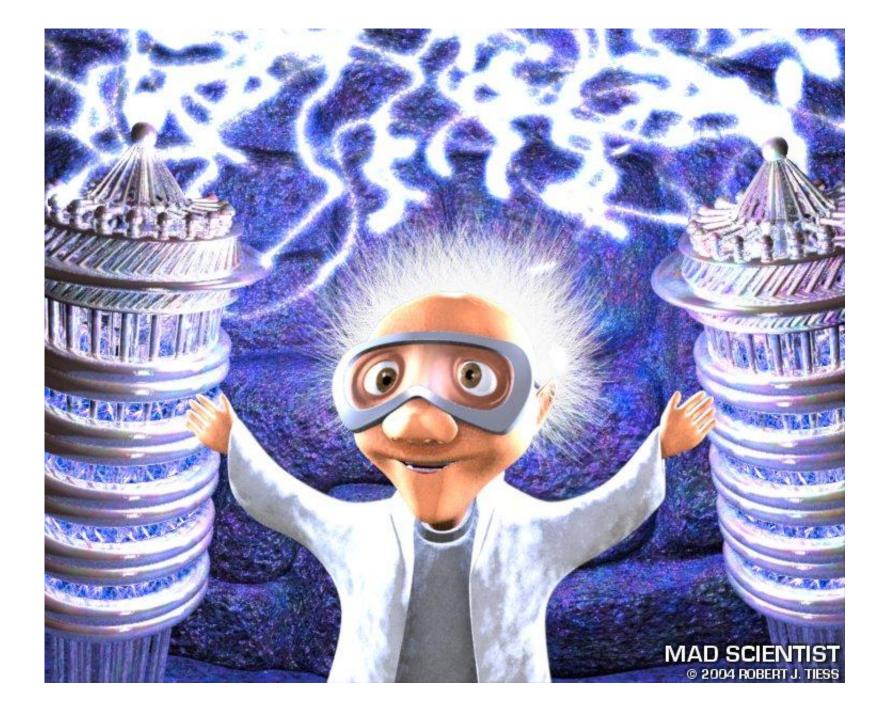


Formulating Hypotheses, Building Models, and Accounting for Variance

Professor Allen Hicken





"The wrong view of science betrays itself in the craving to be right; for it is not his *possession* of knowledge, of irrefutable truth, that makes the man of science, but his persistent and recklessly critical *quest* for truth."

Sir Karl Popper (2003 [1959]: 281)

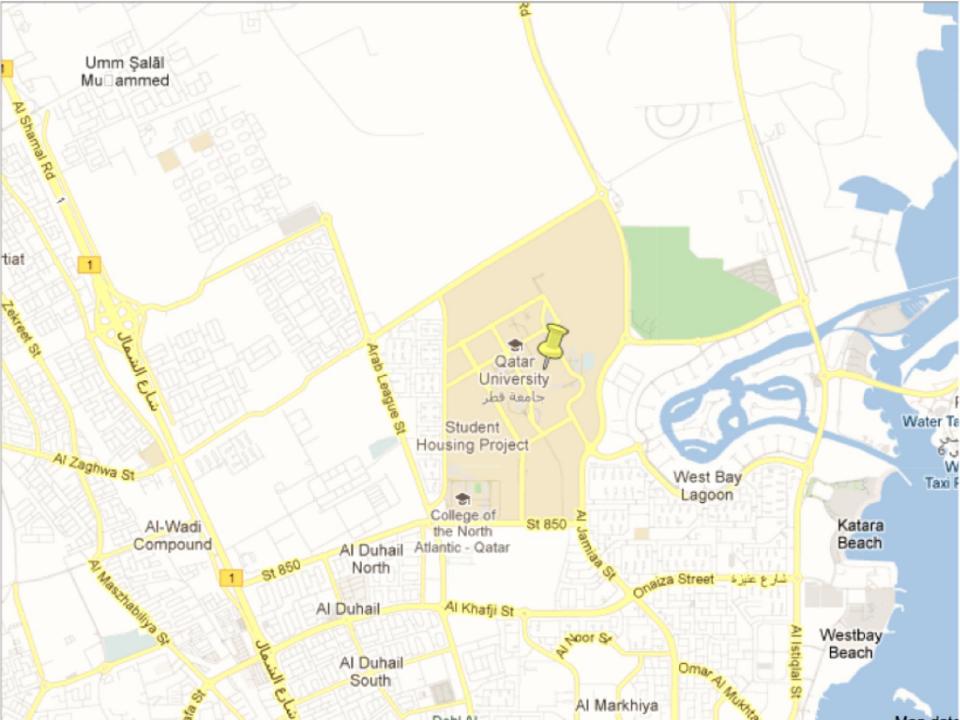
Openness

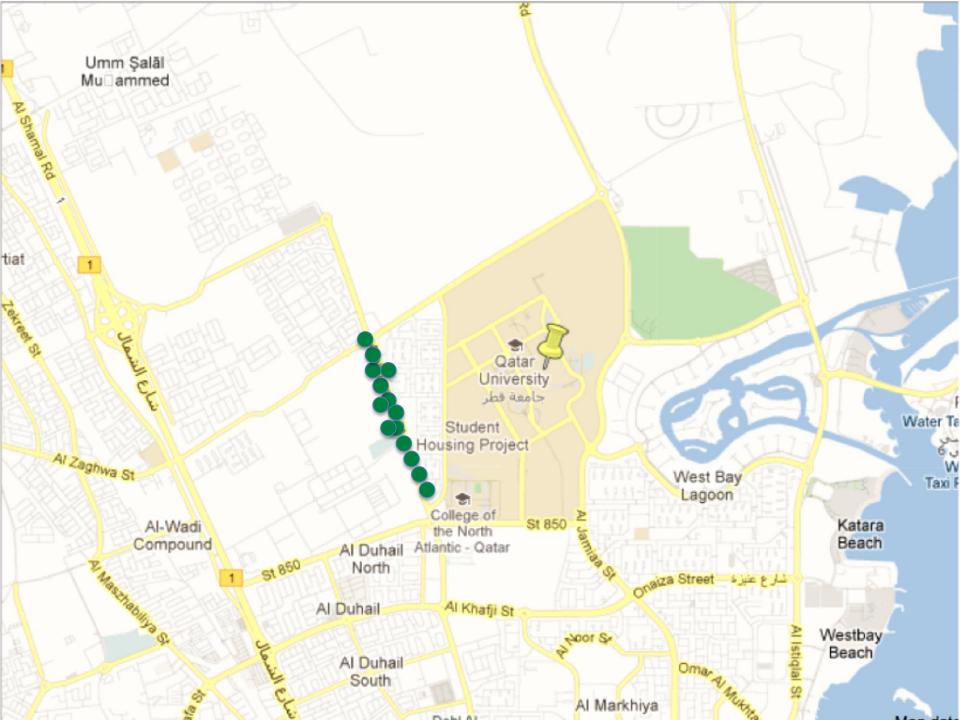
Criticism

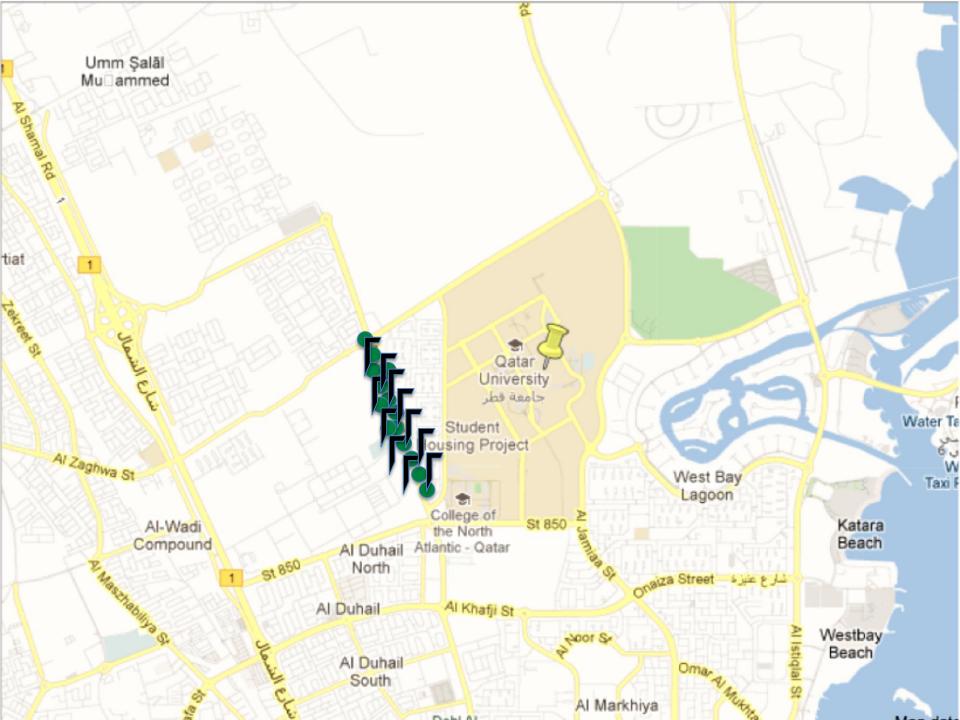
- 1. Puzzle/Question
- 2. Theory/Model
- 3. Implications/Hypotheses
- 4. Observe the world (test hypotheses)
- 5. Evaluation

- 1. Puzzle/Question
 - Eureka!
 - What? Why? How?
 - Existing theories of how the world works

- 1. Puzzle/Question
- 2. Theory/Model
 - Simplicity/Parsimony











- 1. Puzzle/Question
- 2. Theory/Model
 - Simplicity/Parsimony
 - Predictive Accuracy
 - Importance
 - Does it address a pressing problem?
 - How does it affect pre-existing theory?
 - Is it generalizable?

- 1. Puzzle/Question
- 2. Theory/Model
- 3. Implications/Hypotheses
 - Implications of theory other than what we have already observed

Hypothesis Definition

 A testable statement about the empirical relationship between an independent and a dependent variable. (Pollock 2009)

- 1: Identify both an independent (cause) and dependent (effect) variable
 - Example: Some students perform better on exams than others
 - Fix: Students who sit near the front of the class perform better on exams than students who sit near the back

2: Make an explicit comparison

- Example: Rural residents are more likely to be religious
- Fix: Compared to residents in urban areas of the country rural residents are more likely to be religious

3: State the nature of the relationship

- Example: In a comparison of countries, female education attainment and infant mortality are related
- Fix: In a comparison of countries, female education attainment is negatively related to infant mortality

4: Be specific

- Example: Differences among immigrants account for different incomes.
- Fix: In a comparison of immigrants in Qatar, individuals with higher levels of English proficiency are likely to have higher incomes than those with lower levels of proficiency.

5: Be sure it is testable/falsifiable

- Example: Strong states are able to overcome special interests in order to implement policies that are best for the nation
- Example: Those who value education are more likely to attend school.
- Fix: In a comparison of students in Qatar individuals with more positive attitudes about school perform better than those with negative attitudes.

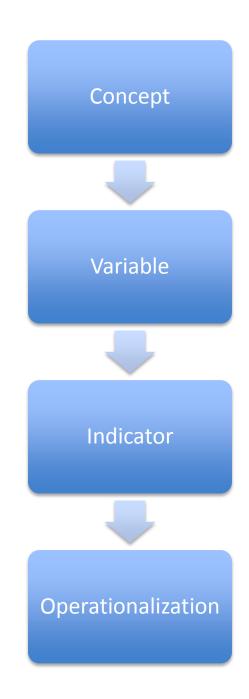
Hypothesis Template

In a comparison of [units of analysis], those having [one value on the independent variable] will be more likely to have [one value on the dependent variable] than will those having [a different value on the independent variable]. (Pollock 2009)

Exercise I

- 1) Identify and describe at least one reason why each statement is a poor hypothesis. 2) Rewrite the statement as a proper hypothesis.
- A. In a comparison of individuals, some people will be more likely to have served in the military than other people will
- B. Decentralized workplaces have highly satisfied workers
- C. Education and smoking are related
- D. Some people support increased funding for religious education

- 1. Puzzle/Question
- 2. Theory/Model
- 3. Implications/Hypotheses
- 4. Observe the world (test hypotheses)



Defining Concepts

- Concepts
 - Must be concrete (measurable)
 - Must vary
- Conceptual Definition must communicate:
 - 1. The variation within a measurable characteristic or set of characteristics
 - 2. The subjects or groups to which the concept applies
 - 3. How the characteristic is to be measured

Defining Concepts

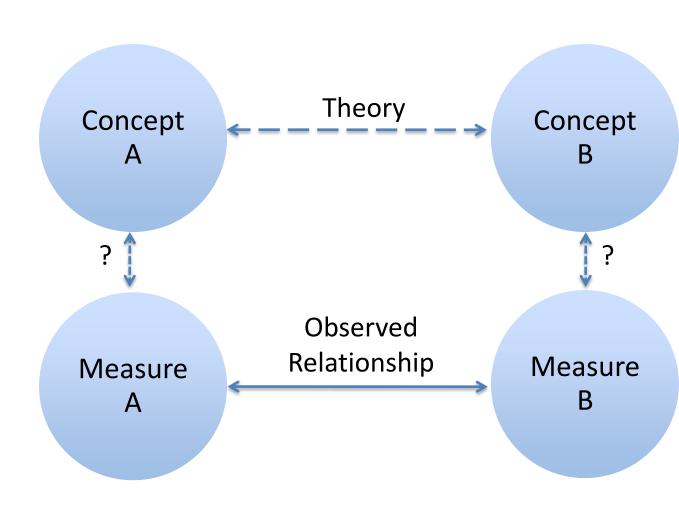
Example

The concept of wealth is defined as...

- a person's yearly income
- a household's yearly income
- how rich a person is
- the number of household employees
- the number of household vehicles a household owns
- a household's lifestyle
- the number of residences a household owns

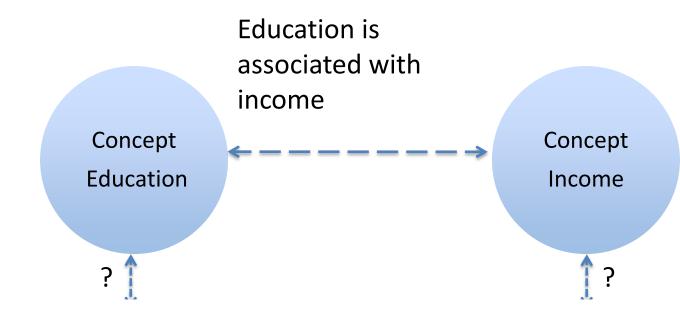
Problems of Measurement

1. Accuracy



Problems of Measurement

1. Accuracy



Exercise II

Think about a possible relationship in your area of research.

- 1. Write a testable hypothesis for this relationship
- 2. How would you define your key concepts? (Write a conceptual definition for each)
- 3. What are some measures you might use as a proxy for your concept?

Sources

- Clark, Golder and Golder. 2008. *Principles of Comparative Politics*. CQ Press.
- Philip H. Pollock III. 2009. *The Essentials of Political Analysis*. CQ Press.
- W. Philips Shively. The Craft of Political Research. Pearson Prentice Hall.